|                          |                 |                 |         |                             |      |     | oartment of<br>nd Tradem | Commerce<br>ark Office | Atty. Doc 2271/66 |  | Serial No.<br>10/044,490 |               |                            |           |  |
|--------------------------|-----------------|-----------------|---------|-----------------------------|------|-----|--------------------------|------------------------|-------------------|--|--------------------------|---------------|----------------------------|-----------|--|
| OIP                      | AN!             | ĘO]             | RN      | <b>1A</b> 7                 | ГЮ   | N   | DI:                      | SCI                    | LOSURE C          | ITATION                                      | Applicant<br>NAKAN       | /IURA, et al. |                            |           |  |
| BY APPL                  |                 |                 |         |                             |      |     |                          |                        |                   | ry)  | Filing Dat               | e 9, 2002     | Group<br>2651   756        |           |  |
| 强                        | ć               | <u> </u>        |         |                             |      |     |                          |                        |                   | TENT DOCUMENT                                |                          |               |                            |           |  |
| Examiner ADEN<br>Initial | ARH             | Document Number |         |                             |      |     | nbe                      | r                      | Date              | Name   | Class                    | Subclass      | Filing Date if Appropriate |           |  |
| w                        | AA              | 3               | 5       | 3                           | 0    | 4   | 4                        | 1                      | 09/2/2/70         | S.R. Ovshinsky                               |                          |               |                            |           |  |
| In                       | AB              | 4               | 8       | 6                           | 6    | 6   | 7                        | 2                      | 09/1 <b>2</b> /89 | Terao et al.                                 |                          |               |                            |           |  |
| m                        | AC              | 5               | 0       | 1                           | 1    | 7   | 2                        | 3                      | 04/ <b>36</b> /91 | Harigaya et al.                              |                          |               |                            |           |  |
| w                        | AD              | 5               | 0       | 2                           | 4    | 9   | 2                        | 7                      | 06/18/91          | Yamada et al.                                |                          |               |                            |           |  |
| n                        | ΑE              | 5               | 0       | 8                           | 0    | 9   | 4                        | 7                      | 01/1/4/92         | Yamada et al.                                |                          |               |                            |           |  |
| hu                       | AF              | 5               | 0       | 9                           | 5    | 4   | 7                        | 9                      | 03/1/0/92         | Harigaya et al.                              |                          |               |                            |           |  |
| m                        | AG              | 5               | 1       | 0                           | 0    | 7   | 0                        | 0                      | 03/3/1/92         | Ide et al.                                   |                          |               |                            |           |  |
| W                        | ΑН              | 5               | 1       | 5                           | 6    | 6   | 9                        | 3                      | 10/20/92          | Ide et al.                                   |                          |               |                            |           |  |
| W                        | ΑI              | 5               | 2       | 9                           | 8    | 3   | 0                        | 5                      | 03/ <b>2</b> 9/94 | Shinozuka et al.                             |                          |               |                            |           |  |
| le                       | ΑJ              | 5               | 5       | 2                           | 3    | 1   | 4                        | 0                      | 06/94/96          | Tominaga et al.                              |                          |               |                            |           |  |
| !w                       | AK              | 5               | 6       | 2                           | 7    | 0   | 1                        | 2                      | 05/96/97          | Tominaga et al.                              |                          |               |                            |           |  |
|                          |                 |                 |         |                             |      |     |                          |                        | FOREIGN           | PATENT DOCUME                                | NTS                      |               |                            |           |  |
|                          | Document Number |                 |         |                             |      |     | ımb                      | er                     | Date Country      | Class  | Subclass                 | Tran          | slation                    |           |  |
| <b>N</b>                 |                 |                 |         |                             |      |     |                          |                        |                   |  |                          |               | Yes                        | No        |  |
| W                        | AL              | 01              | 2       | 7                           | 7    | 3   | 3                        | 8                      | 1110/1/89         | Japan  |                          |               |                            |           |  |
| W                        | AM              | 03              | 1       | 3                           | 8    | 9   | 4_                       | 6                      | 06/13/91          | Japan  |                          |               |                            |           |  |
| Re                       | AN              | 03              | 2       | 4                           | 0    | 5   | 9                        | 0                      | 10/25191          | Japan  |                          |               |                            |           |  |
| W                        | ΑO              | 04              | 0       | 0                           | 1    | 4   | 8                        | 8                      | 01/06/92          | Japan  |                          |               |                            |           |  |
| w                        | AP              | 04              | 0       | 5                           | 2    | 1   | 8                        | 8                      | 02/ <b>3</b> 6/92 | Japan  |                          |               |                            |           |  |
| ne                       |                 | 04              | Ī       | 5                           | 2    | 1   | 8                        | 9                      | 02/26/92          | Japan  |                          |               |                            |           |  |
| w                        | AR              | 04              | 0       | 7                           | 8    | 0   | 3                        | 1                      | 03/1/2/92         | Japan  |                          |               |                            |           |  |
| m                        | AS              | 04              | 1       | 2                           | 3    | 5   | 5                        | 1                      | 04/2/3/92         | Japan  |                          |               |                            |           |  |
|                          |                 |                 |         |                             | -    |     |                          |                        |                   |  |                          |               |                            |           |  |
|                          |                 | $\mathbf{O}$    | ГН      | ER                          | D    | C   | UM                       | ŒN                     | NTS (Includ       | ing Author, Title, Da                        | ate, Pertii              | nent Pages, I | Etc.)                      |           |  |
| m                        | АТ              | Fu              | ruy     |                             | et a |     |                          |                        |                   | ing Author, Title, Da<br>4th Symposium on Pl |                          |               |                            | 1992), pg |  |
| m                        |                 | Fu<br>70:<br>Ka | ruy<br> | /a, √                       | et a | 1., | Pro                      | cee                    | dings of the      |  | hase Chan                | ge Optical Ro | ecording (                 |           |  |
| EXAMINER                 |                 | Fu<br>70:<br>Ka | ruy<br> | /a, /<br>7 <b>5</b><br>0, e | et a | 1., | Pro                      | cee                    | dings of the      | 4th Symposium on Ph                          | hase Chan                | ge Optical Ro | ecording (                 |           |  |

|  |                            |   |   |   |   |  | _   | partment of<br>nd Tradema                 | Commerce<br>ark Office   | Atty. Dock 2271/66  |                            | Serial No.<br>10/044,490                         |                                       |                   |  |
|--|----------------------------|---|---|---|---|--|---|---|--|---|----------------------------|--|---------------------------------------|-------------------|--|
| OIP                                      | AN                         | ĘO.   | RM  | <b>1A</b> 7                                     |   |  |   |   | LOSURE C   | ITATION   | Applicant<br>NAKAN         | IURA, et al.                                     | •                                     |                   |  |
| BY APPI<br>(Use several sheet)           |                            |   |   |   |   |  |   |   |  |   | Filing Dat                 |  | Group<br>2651                         |                   |  |
|  |                            |   |   |   |   |  |   | neet                                      |  |   | January                    | 9, 2002  |                                       |                   |  |
| 15                                       | - AV                       | \$ <u>/</u>   |   |   |   |  |   |   | T  | TENT DOCUMENT   | T                          | Υ · - · · · · · · · · · · · · · · · · ·          | · · · · · · · · · · · · · · · · · · · |                   |  |
| Examiner <sub>/RAD</sub>                 | MALL                       | _   | Document Number                                 |   |   |  |   | r<br>T                                    | Date   | Name  | Class                      | Subclass   | Filing Date if Appropriate            |                   |  |
|  | AA                         | 5   | 6   | 3   | 7   | 3  | 7   | 1_  | 06/10/97   | Tominaga et al.   |                            |  |                                       |                   |  |
| W  | AB                         | 5   | 7   | 3   | 6   | 6  | 5   | 7   | 04/07/98   | Ide et al.  |                            |  | <u> </u>                              |                   |  |
| m  | AC                         | 5   | 7   | 5   | 0   | 2  | 2   | 8   | 05/12/98   | Sakaue et al.   |                            |  |                                       |                   |  |
| w  | AD                         | 5   | 7   | 8   | 5_  | 8  | 2   | 8   | 07/28/98   | Yamada et al.   |                            |  |                                       |                   |  |
| lu                                       | 'AE                        | 5   | 9   | 1   | 6   | 7  | 3   | 0   | 06/29/99   | Ide et al.  |                            |  |                                       |                   |  |
|  | AF                         | 5   | 9   | 4   | 8   | 4  | 9   | 6   | 09/07/99   | Kinoshita et al.  |                            |  |                                       |                   |  |
| W  | AG                         | 5   | 9   | 7   | 4   | 0  | 2   | 5   | 10/26/99   | Yamada et al.   |                            |  |                                       |                   |  |
| 1/                                       | AH                         | 6   | 1   | 0   | 8   | 2  | 9   | 5   | 08/22/00   | Ohno et al.   |                            |  |                                       |                   |  |
|  | ΑI                         | 6   | 1   | 2   | 7   | 0  | 1   | 6   | 10/03/00   | Yamada et al.   |                            |  |                                       |                   |  |
|  | AJ                         | 6   | 2   | 3   | 2   | 0  | 3   | 5   | 05/15/01   | Hirotsune et al.  |                            |  |                                       |                   |  |
| w  | AK                         | 6   | 2   | 4   | 2   | 1  | 5   | 7   | 06/05/01   | Tominaga et al.   |                            |  |                                       |                   |  |
|  |                            |   |   |   |   |  |   |   | FOREIGN  | PATENT DOCUME   | NTS                        |  |                                       |                   |  |
|  | Document Number            |   |   |   |   |  |   |   | Date Country   | Class Subclass  |                            | _  | 1 4.                                  |                   |  |
|  |                            | D   | oci   | umo   | ent   | Nι   | ımt   | er  | Date   | Country   | Class                      | Subclass   | Tran                                  | slation           |  |
| ÷  |                            | D   | ocı   | umo   | ent   | Νι   | ımt   | er<br>—                                   | Date   | Country   | Class                      | Subclass   | Yes                                   | No                |  |
| a  | AL                         |   |   | ume<br>6  | e <b>nt</b><br>3                                  | <b>N</b> ı   | <b>1m</b> b   | 9<br>9                                    | Date<br>06/09/92   | Japan   | Class                      | Subclass   |                                       | 1                 |  |
|  | AL<br>AM                   | 04  | 1   | т   | _   |  | <u> </u>  | 1   |  |   | Class                      | Subclass   |                                       | 1                 |  |
| a  |                            | 04<br>04  | 1 2   | 6   | 3   | 8  | 3   | 9   | 06/99/192  | Japan   | Class                      | Subclass   |                                       | 1                 |  |
| a  | AM                         | 04<br>04<br>05  | 1<br>2<br>1                                     | 6   | 3   | 8  | 3   | 9<br>9                                    | 06/09/92<br>08/21/92<br>07/21/93   | Japan<br>Japan  | Class                      | Subclass   |                                       | 1                 |  |
| he                                       | AM<br>AN<br>AO             | 04<br>04<br>05  | 1<br>2<br>1<br>3                                | 6<br>3<br>8                                     | 3 2 5   | 8<br>7<br>7  | 3<br>7<br>3   | 9<br>9<br>2                               | 06/9 <b>9</b> /92<br>08/2 <b>)</b> /92   | Japan<br>Japan<br>Japan   | Class                      | Subclass   |                                       | 1                 |  |
| he                                       | AM<br>AN<br>AO             | 04<br>04<br>05<br>05<br>08                                  | 1<br>2<br>1<br>3                                | 6<br>3<br>8<br>4                                | 3<br>2<br>5<br>5                                  | 8<br>7<br>7<br>4                                   | 3<br>7<br>3<br>7  | 9<br>9<br>2<br>8                          | 06/09/92<br>08/22/92<br>07/21/93<br>12/21/93   | Japan<br>Japan<br>Japan<br>Japan  | Class                      | Subclass   |                                       | 1                 |  |
| he                                       | AM<br>AO<br>AP<br>AQ       | 04<br>04<br>05<br>05<br>08                                  | 1<br>2<br>1<br>3<br>0                           | 6<br>3<br>8<br>4                                | 3<br>2<br>5<br>5<br>2                             | 8<br>7<br>7<br>4<br>6                              | 3<br>7<br>3<br>7  | 9<br>9<br>2<br>8<br>4                     | 06/09/92<br>08/21/92<br>07/21/93<br>12/21/93<br>01/28/96   | Japan<br>Japan<br>Japan<br>Japan<br>Japan   | Class                      | Subclass   |                                       | 1                 |  |
| a we we we                               | AM<br>AO<br>AP<br>AQ<br>AR | 04<br>04<br>05<br>05<br>08                                  | 1<br>2<br>1<br>3<br>0<br>0                      | 6<br>3<br>8<br>4                                | 3<br>2<br>5<br>5<br>2<br>7                        | 8<br>7<br>7<br>4<br>6<br>6                         | 3<br>7<br>3<br>7<br>4                                       | 9<br>9<br>2<br>8<br>4                     | 06/09/92<br>08/21/92<br>07/21/93<br>12/21/93<br>01/23/96<br>03/22/96<br>03/18/97   | Japan<br>Japan<br>Japan<br>Japan<br>Japan<br>Japan  | Class                      | Subclass   |                                       | 1                 |  |
| a we we we were                          | AM AO AP AQ AR AS          | 04<br>04<br>05<br>05<br>08<br>08<br>09                      | 1<br>2<br>1<br>3<br>0<br>0<br>0<br>2            | 6<br>3<br>8<br>4                                | 3<br>2<br>5<br>5<br>2<br>7<br>3                   | 8<br>7<br>7<br>4<br>6<br>6                         | 3<br>7<br>3<br>7<br>4                                       | 9<br>9<br>2<br>8<br>4<br>4<br>6           | 06/09/92<br>08/24/92<br>07/21/93<br>12/21/93<br>01/25/96<br>03/22/96<br>03/15/97   | Japan   | Class                      | Subclass   |                                       | 1                 |  |
| a we we we were                          | AM<br>AO<br>AP<br>AQ<br>AR | 04<br>04<br>05<br>05<br>08<br>08<br>09                      | 1<br>2<br>1<br>3<br>0<br>0<br>0<br>2<br>2       | 6<br>3<br>8<br>4<br>2<br>7<br>7<br>1            | 3<br>2<br>5<br>5<br>2<br>7<br>3<br>2<br>1         | 8<br>7<br>7<br>4<br>6<br>6<br>6<br>9<br>2          | 3<br>7<br>3<br>7<br>4<br>1<br>6<br>1                        | 9<br>9<br>2<br>8<br>4<br>4<br>6<br>8      | 06/09/92<br>08/21/92<br>07/21/93<br>12/21/93<br>01/28/96<br>03/22/96<br>03/18/97<br>08/18/97   | Japan Japan Japan Japan Japan Japan Japan Japan Japan   |                            |  | Yes                                   | 1                 |  |
| a we we we were                          | AM AO AP AQ AR AS          | 04<br>04<br>05<br>08<br>08<br>09<br>09                      | 1<br>2<br>1<br>3<br>0<br>0<br>0<br>2<br>2       | 6<br>3<br>8<br>4<br>2<br>7<br>7<br>1<br>4<br>ER | 3<br>2<br>5<br>5<br>2<br>7<br>3<br>2<br>1         | 8<br>7<br>7<br>4<br>6<br>6<br>6<br>9<br>2          | 3<br>7<br>3<br>7<br>4<br>1<br>6<br>1                        | 9<br>9<br>2<br>8<br>4<br>4<br>6<br>8<br>1 | 06/09/92<br>08/21/92<br>07/21/93<br>12/21/93<br>01/23/96<br>03/22/96<br>03/18/97<br>08/13/97<br>09/11/98   | Japan   | ate, Pertin                | nent Pages, I                                    | Yes  Etc.)                            | No                |  |
| a we | AM AO AP AQ AR AS AT       | 04<br>04<br>05<br>05<br>08<br>09<br>09<br>10<br><b>O</b> '. | 1<br>2<br>1<br>3<br>0<br>0<br>0<br>2<br>2<br>TH | 6<br>3<br>8<br>4<br>2<br>7<br>7<br>1<br>4<br>ER | 3<br>2<br>5<br>5<br>2<br>7<br>3<br>2<br>1<br>De   | 8 7 7 4 6 6 9 2 OCC t al                           | 3<br>7<br>3<br>7<br>4<br>1<br>6<br>1<br>1<br>UM             | 9<br>9<br>2<br>8<br>4<br>4<br>6<br>8<br>1 | 06/09/92<br>08/21/92<br>07/21/93<br>12/21/93<br>01/22/96<br>03/22/96<br>03/12/97<br>08/12/97<br>09/11/98<br>TS (Included the sedings of the sedings)   | Japan Jahan Japan Japan Japan Japan Japan Japan Japan Japan   | te, Pertirese Change       | nent Pages, I                                    | Yes  Etc.) Eding (1992                | No ), pg. 82-2    |  |
| a we we we were                          | AM AO AP AQ AR AS AT       | 04<br>04<br>05<br>05<br>08<br>09<br>09<br>10<br><b>O</b> '. | 1<br>2<br>1<br>3<br>0<br>0<br>0<br>2<br>2<br>TH | 6<br>3<br>8<br>4<br>2<br>7<br>7<br>1<br>4<br>ER | 3<br>2<br>5<br>5<br>2<br>7<br>3<br>2<br>1<br>De   | 8 7 7 4 6 6 9 2 OCC t al                           | 3<br>7<br>3<br>7<br>4<br>1<br>6<br>1<br>1<br>UM             | 9<br>9<br>2<br>8<br>4<br>4<br>6<br>8<br>1 | 06/09/92<br>08/21/92<br>07/21/93<br>12/21/93<br>01/22/96<br>03/22/96<br>03/12/97<br>08/12/97<br>09/11/98<br>TS (Included the sedings of the sedings)   | Japan | te, Pertirese Change       | nent Pages, I                                    | Yes  Etc.) Eding (1992                | No ), pg. 82-2    |  |
| W W W W W W W W W W W W W W W W W W W    | AM AO AP AQ AR AS AT AU AV | 04<br>04<br>05<br>05<br>08<br>09<br>09<br>10<br>Ka          | 1<br>2<br>1<br>3<br>0<br>0<br>0<br>2<br>2<br>FH | 6<br>3<br>8<br>4<br>2<br>7<br>7<br>1<br>4<br>ER | 3<br>2<br>5<br>5<br>2<br>7<br>3<br>2<br>1<br>et.; | 8<br>7<br>4<br>6<br>6<br>6<br>9<br>2<br>OC<br>t al | 3<br>7<br>3<br>7<br>4<br>1<br>6<br>1<br>1<br>1<br>UM<br>Jar | 9 9 2 8 4 4 6 8 1 IEN                     | 06/09/92<br>08/24/92<br>07/21/93<br>12/21/93<br>01/23/96<br>03/22/96<br>03/22/96<br>03/18/97<br>08/13/97<br>09/21/98<br>TS (Included and the construction of the const | Japan   | te, Pertirese Change (116) | nent Pages, I<br>Optical Recore<br>93), pg. 5226 | Yes  Etc.)  eding (1992               | No<br>), pg. 82-2 |  |

|               |                      |                 |                         |          |       |           |       |     | partment of<br>nd Tradem | Commerce ark Office |                       | Atty. Doc<br>2271/66   |  | Serial No.<br>10/044,490 |             |  |
|---------------|----------------------|-----------------|-------------------------|----------|-------|-----------|-------|-----|--------------------------|---------------------|-----------------------|------------------------|--|--------------------------|-------------|--|
| OTA           | N.                   | F <b>Q</b> I    | RM.                     | ΑT       | CIO   | N         | DIS   | SCI | LOSURE C                 | ITATION             |                       | Applicant<br>NAKAN     | MURA, et al.                                     | •                        |             |  |
| ( MAY 3 0     | <sup>6</sup> RV ΔPPI |                 |                         |          |       |           |       |     |                          | ıry)                | Filing Dat<br>January | te<br>7 <b>9, 2002</b> | Group<br>2651 1-754                              |                          |             |  |
| E             |                      |                 |                         |          |       |           |       |     |                          | TENT DOCUM          | <b>MENTS</b>          |                        | •  |                          |             |  |
| Examiner FADE | MARK                 |                 | Doci                    | ıme      | ent l | Nur       | nbei  | r   | Date                     | Name                |                       | Class                  | Subclass   | Filing Date              |             |  |
|               | AA                   |                 |                         |          |       |           |       |     |                          |                     |                       |                        |  |                          |             |  |
|               | AB                   |                 |                         |          |       |           |       |     |                          |                     |                       |                        |  |                          | -           |  |
|               | AC                   |                 |                         |          |       |           |       |     |                          |                     |                       |                        |  |                          |             |  |
|               | AD                   |                 |                         |          |       |           |       |     |                          |                     |                       |                        |  |                          |             |  |
|               | AE                   |                 |                         |          |       |           |       |     |                          |                     |                       |                        |  |                          |             |  |
|               | AF                   |                 |                         |          |       |           |       |     |                          |                     |                       |                        |  |                          |             |  |
|               | AG                   |                 |                         |          |       |           |       |     |                          |                     |                       |                        |  |                          |             |  |
|               | AH                   |                 |                         |          |       |           |       |     |                          |                     |                       |                        |  |                          |             |  |
|               | ΑI                   |                 |                         |          |       |           |       |     |                          |                     |                       |                        |  |                          |             |  |
|               | AJ                   |                 |                         |          |       |           |       |     |                          |                     |                       |                        |  |                          |             |  |
|               |                      |                 |                         |          |       |           |       |     | FOREIGN                  | PATENT DOO          | CUMEN                 | NTS                    | •  |                          |             |  |
|               |                      | Document Number |                         |          |       |           |       | er  | Date Country             |                     |                       | Class                  | Subclass   | Trans                    | Translation |  |
|               |                      |                 |                         |          |       |           |       |     |                          |                     |                       |                        |  | Yes                      | No          |  |
| w             | AK                   | 10              | 2                       | 3        | 9     | 4         | 4     | 7   | 10/2/198                 | Japan               |                       |                        |  |                          |             |  |
| w             | AL                   | 60              | 1                       |          | 7_    | 4         | 4     | 6   | 09/1/185                 | Japan               |                       |                        |  |                          |             |  |
| he            | AM                   | 61              | 2                       | <u>L</u> | 9     | 6         | 9     | 2   | 09/ <b>38</b> /86        | Japan               |                       |                        |  |                          |             |  |
| M             | AN                   | 61              | 2                       | 7        | 0     | 1         | 9     | 0   | 11/ <b>28</b> /86        | Japan               |                       |                        |  |                          |             |  |
| u             | ΑO                   | 62              | 0                       | L        | 9     | 4         | 9     | 0   | 01/28/87                 | Japan               |                       |                        |  |                          |             |  |
| lu            | AP                   | 62              | 0                       |          | 3_    | 4         | 3     | 8   | 04/94/87                 | Japan               |                       |                        | <del>                                     </del> |                          |             |  |
| her           | AQ                   | 63              | 2                       | 2        | 8     | 4         | 3     | 3   | 09/22/88                 | Japan               |                       |                        |  |                          |             |  |
| n             | AR                   | 63              | 2                       | 5        | 1     | 2         | 9     | 0   | 10/18/88                 | Japan               |                       |                        |  |                          |             |  |
|               |                      | O               | THE                     | R        | DO    | <u>)C</u> | UM    | ŒN  | TS (Includ               | ling Author, Tit    | le, Dat               | te, Perti              | nent Pages, I                                    | Etc.)                    |             |  |
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| In            | AT                   | 1               |                         | _        | •     | t a       | 1., ] | Pro | ceedings of              | the 5th Symposi     | um on                 | Phase Cl               | hange Optical                                    | Recording                | g (1993),   |  |
| EXAMINER      | 4                    | <u> </u>        | pg. 58. DATE CONSIDERED |          |       |           |       |     |                          |                     |                       |                        |  |                          |             |  |
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